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**WV Medicaid & CHIP**

**HIPAA Transaction  
Standard Companion Guide**

**Refers to the Implementation Guides  
Based on ASC X12N version 5010**

**276 Health Claim Status Request**

May 2022



## **Preface**

This Companion Guide to the v5010 ASC X12N Implementation Guides and associated errata adopted under Health Insurance Portability and Accountability Act (HIPAA) clarifies and specifies the data content when exchanging electronically with Gainwell Technologies. Transmissions based on this companion guide, used in tandem with the v5010 ASC X12N Implementation Guides, are compliant with both ASC X12 syntax and those guides. This Companion Guide is intended to convey information that is within the framework of the ASC X12N Implementation Guides adopted for use under HIPAA. The Companion Guide is not intended to convey information that in any way exceeds the requirements or usages of data expressed in the Implementation Guides.

EDITOR'S NOTE

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# 1. INTRODUCTION

This section describes how ASC X12N Implementation Guides (IGs) adopted under HIPAA will be detailed with the use of a table. The tables contain a row for each segment that Gainwell Technologies has something additional, over and above, the information in the IGs. That information can:

- Limit the repeat of loops, or segments
- Limit the length of a simple data element
- Specify a sub-set of the IGs internal code listings
- Clarify the use of loops, segments, composite, and simple data elements
- Any other information tied directly to a loop, segment, composite, or simple data element pertinent to trading electronically with Gainwell Technologies

In addition to the row for each segment, one or more additional rows are used to describe Gainwell Technologies' usage for composite and simple data elements and for any other information. Notes and comments should be placed at the deepest level of detail. For example, a note about a code value should be placed on a row specifically for that code value, not in a general note about the segment.

The following table specifies the columns and suggested use of the rows for the detailed description of the transaction set companion guide. Note that the table reflects sample data and not actual data.

Page #	Loop ID	Reference	Name	Codes	Length	Notes/Comments
193	2100C	NM1	Subscriber Name			This type of row always exists to indicate that a new segment has begun. It is always shaded at 10% and notes or comment about the segment itself goes in this cell.
195	2100C	NM109	Subscriber Primary Identifier		15	This type of row exists to limit the length of the specified data element.
196	2100C	REF	Subscriber Additional Identification			
197	2100C	REF01	Reference Identification Qualifier	18, 49, 6P, HJ, N6		These are the only codes transmitted by Gainwell Technologies.
			Plan Network Identification Number	N6		This type of row exists when a note for a particular code value is required. For example, this note may say that value N6 is the default. Not populating the first 3 columns makes it clear that the code value belongs to the row immediately above it.
218	2110C	EB	Subscriber Eligibility or Benefit Information			
231	2110	EB13-1	Product/Service ID Qualifier	AD		This row illustrates how to indicate a component data element in the Reference column and also how to

Page #	Loop ID	Reference	Name	Codes	Length	Notes/Comments
						specify that only one code value is applicable.

## SCOPE

This companion guide documents the transaction types listed below and further defines situational and required data elements that are used for processing claim status request/responses for programs administered by West Virginia Medicaid. This document is not the complete Electronic Data Interchange (EDI) transaction format specifications.

- Health Care Claim Status Request and Response (276/277) ASC X12N/005010X212
- Health Care Claim Status Request and Response (276/277) ASC X12N/005010X212E1
- Health Care Claim Status Request and Response (276/277) ASC X12N/005010X212E2

## OVERVIEW

Data elements, segments, and loops not included in this guide are not used for processing transactions by West Virginia Medicaid but must still be sent if the information is required for compliance with the ASC X12N version 5010 format. Review the ASC X12N Implementation Guides or 5010 TR3s (Type 3 Technical Report) standards for more information on data elements, segments, and loops required for processing, but not used by West Virginia Medicaid or referenced by this companion guide.

## REFERENCES

The ASC X12N Implementation Guides or 5010 TR3s (Type 3 Technical Report) are standards developed by the X12 committee and published by the Washington Publishing Company (WPC).

<http://store.x12.org/store/healthcare-5010-consolidated-guides>

## ADDITIONAL INFORMATION

- Assumptions regarding the reader:
  - You are interested in reducing error, maximizing efficiency, and saving money.
  - West Virginia Medicaid encourages all providers to receive and make use of the standard HIPAA 837 Healthcare Claim.
- Advantages / Benefits of EDI:
  - The 837 Healthcare Claim allows for electronic submission of claims data sent to West Virginia Medicaid using computer software.

## 2. GETTING STARTED

### WORKING WITH GAINWELL TECHNOLOGIES

Visit <http://www.wvmmis.com> for information.

For any questions, or to begin testing, contact the Gainwell EDI Helpdesk at (888) 483-0793, select option 1 to enter the provider NPI or option 2 to enter the provider Medicaid ID then select option 4 for EDI, or email [edihelpdesk@gainwelltechnologies.com](mailto:edihelpdesk@gainwelltechnologies.com).

## TRADING PARTNER REGISTRATION

A trading partner is defined as any entity with which Gainwell exchanges electronic data. The term electronic data is not limited to HIPAA X12 transactions. West Virginia Medicaid's Health PAS system supports the following categories of trading partner:

- Provider
- Billing Agency
- Clearinghouse
- Other

To obtain a trading partner ID, visit <http://www.wvmmis.com>, or contact Gainwell at (888) 483-0793, select option 1 to enter the provider NPI or option 2 to enter the provider Medicaid ID then select option 4 for EDI or email [edihelpdesk@gainwelltechnologies.com](mailto:edihelpdesk@gainwelltechnologies.com).

## CERTIFICATION AND TESTING OVERVIEW

All trading partners must be authorized to submit production EDI transactions. Any trading partner may submit test EDI transactions. The Usage Indicator, element 15 of the Interchange Control Header (ISA) of an X12 file, indicates if a file is test or production. Authorization is granted on a per transaction basis. For example, a trading partner may be certified to submit 837P professional claims but not certified to submit 837I institutional claim files.

Refer to the *WV Medicaid TPA – Account Maintenance User Guide* for more information. The user guide can be found at <https://www.wvmmis.com/SitePages/User-Guides.aspx>.

### 3. TESTING WITH THE PAYER

Trading partners must submit three test files of a particular transaction type, with a minimum of 15 transactions within each file, and have no failures or rejections to become certified for production.

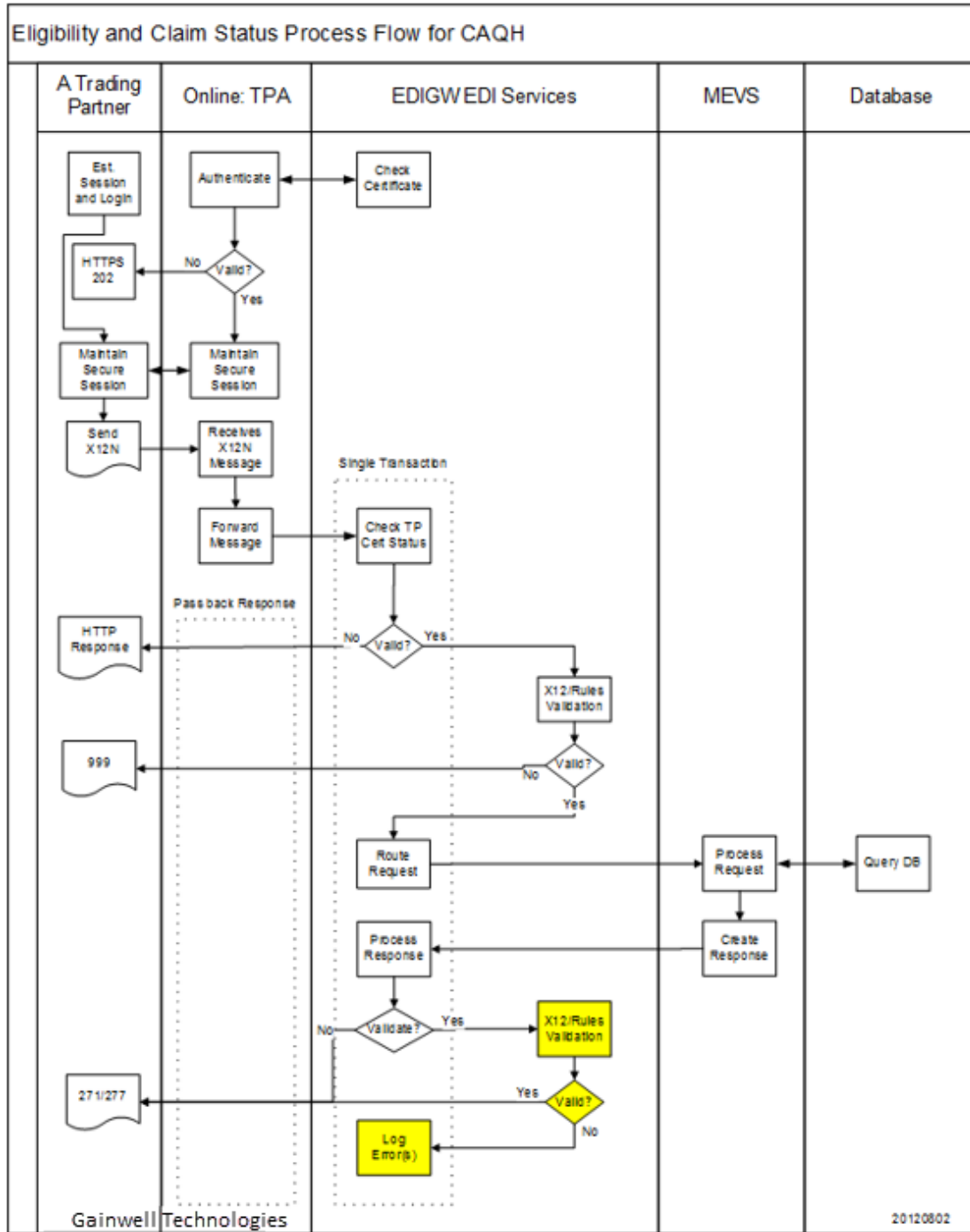
Review the “EDI Certification Status” page of Health PAS-OnLine under the “Account Maintenance” menu option to verify when testing for a particular transaction has been completed. The EDI Certification Status page is found by logging on to your trading partner account on the Health PAS-OnLine Website ([www.wvmmis.com](http://www.wvmmis.com)).

Detailed instructions for retrieving and interpreting HIPAA validation acknowledgments may be found in the Business Scenarios and Transmission Examples Appendices found at the end of this companion guide.

## 4. CONNECTIVITY WITH THE PAYER/COMMUNICATIONS PROCESS FLOWS

### PROCESS FLOWS

The following is a simplified diagram of the flow of EDI data through the Gainwell Technologies system.





## TRANSMISSION ADMINISTRATIVE PROCEDURES

X12 files can be uploaded via Health PAS-OnLine File Exchange X12Upload.

276 Claim Status files, Acknowledgments and Responses to transactions submitted via Health PAS-OnLine website can be accessed by selecting **Claim Status (276)** under the File Exchange menu.

Refer to the *Health PAS\_OnLine\_File\_Exchange* user guide for more information. The user guide can be found at <https://www.wvmmis.com/SitePages/User-Guides.aspx>.

Trading Partners who have submitted X12 transactions via secure File Transfer Protocol (FTP) may retrieve acknowledgements and responses from their designated secured FTP Pickup location.

## RE-TRANSMISSION PROCEDURE

ISA13 – Interchange Control Number needs to be unique to each file and Trading Partner ID.

Refer to the *Health PAS\_OnLine\_File\_Exchange* user guide for more information. The user guide can be found at <https://www.wvmmis.com/SitePages/User-Guides.aspx>.

## COMMUNICATION PROTOCOL SPECIFICATIONS

The following communications protocols are available for sending and receiving the ASC X12N 276/277 transactions.

Refer to the “Health Care Claim Status Request and Response (276/277)” ASC X12N Implementation Guide for more information on submitting Batch and Real-time transactions.

<http://store.x12.org/store/healthcare-5010-consolidated-guides>

### Batch Mode:

- Hypertext Transfer Protocol Secure (HTTPS) upload via the Health PAS Website.
- FTP though a secure, dedicated Virtual Private Network (VPN) connection.

### Real-time:

- HTTP MIME
- WSDL SOAP

### CAQH Web service:

Authorized trading partners can transmit 276 transactions through Council for Affordable Quality Healthcare (CAQH) Web Services. The Gainwell CAQH Web Services have been enhanced to support this functionality. The CAQH Web Services supports two types of transaction protocols. Simple Object Access Protocol (SOAP) and Multipurpose Internet Mail Extensions (MIME).

Transactions can be sent in the following links:

- SOAP Transactions: [https://www.wvmmis.com/CAQH\\_SOAPService/SOAPService.svc](https://www.wvmmis.com/CAQH_SOAPService/SOAPService.svc)
- MIME Transactions: [https://www.wvmmis.com/CAQH\\_MIMEService/MIMEService.svc](https://www.wvmmis.com/CAQH_MIMEService/MIMEService.svc)

## PASSWORDS

Trading Partners create their own password at time of registration and are required to update it every 60 days as per the Health PAS-Online requirements. Password must be at least seven characters long, contain at least one uppercase character, at least one numeral, and at least one special character.

## 5. CONTACT INFORMATION

### GAINWELL EDI HELP DESK

Contact (888) 483-0793, select option 1 to enter the provider NPI or option 2 to enter the provider Medicaid ID then select option 4 for EDI, or email [edihelpdesk@gainwelltechnologies.com](mailto:edihelpdesk@gainwelltechnologies.com).

### EDI TECHNICAL ASSISTANCE

Contact (888) 483-0793, select option 1 to enter the provider NPI or option 2 to enter the provider Medicaid ID then select option 4 for EDI, or email [edihelpdesk@gainwelltechnologies.com](mailto:edihelpdesk@gainwelltechnologies.com).

### PROVIDER SERVICE NUMBER

Contact (888) 483-0793, select option 1 to enter the provider NPI or option 2 to enter the provider Medicaid ID, or email [wvmmis@gainwelltechnologies.com](mailto:wvmmis@gainwelltechnologies.com).

### APPLICABLE WEBSITES/EMAIL

The email addresses below can be used in contacting WV Medicaid's EDI Support, Provider Services, and Provider Enrollment departments. These groups can provide assistance and answer questions relating to EDI file submissions, provider enrollment, and services.

Website: <http://www.wvmmis.com>

EDI Support: [edihelpdesk@gainwelltechnologies.com](mailto:edihelpdesk@gainwelltechnologies.com)

Provider Services: [wvmmis@gainwelltechnologies.com](mailto:wvmmis@gainwelltechnologies.com)

Provider Enrollment: [wvproviderenrollment@gainwelltechnologies.com](mailto:wvproviderenrollment@gainwelltechnologies.com)

## 6. CONTROL SEGMENTS/ENVELOPES

### DELIMITERS

West Virginia Medicaid does not require the use of specific values for the delimiters used in electronic transactions. The suggested values are included in the specifications below.

Definition	ASCII	Decimal	Hexadecimal
Segment Separator	~	126	7E
Element Separator	*	42	2A
Compound Element Separator	:	58	3A

### ISA-IEA

This section describes Gainwell Technologies' use of the interchange control segments. It includes a description of expected sender and receiver codes, authorization information, and delimiters.

Page #	Loop ID	Reference	Name	Codes	Length	Notes/Comments
C.4		ISA01	Authorization Information Qualifier	00	2	
		ISA02	Authorization Information	[space fill]	10	

Page #	Loop ID	Reference	Name	Codes	Length	Notes/Comments
		ISA03	Security Information Qualifier	00	2	
		ISA04	Security Information	[space fill]	10	
		ISA05	Interchange ID Qualifier	ZZ	2	
		ISA06	Interchange Sender ID	WVTPID#####	15	Gainwell assigned trading partner ID + 3 spaces
C.5		ISA07	Interchange ID Qualifier	ZZ	2	
		ISA08	Interchange Receiver ID	WV_MES_4_MMS_IG	15	Receiver ID
		ISA09	Interchange Date	YYMMDD	6	
		ISA10	Interchange Time	HHMM	4	
		ISA11	Repetition Separator	^	1	Suggested value
		ISA12	Interchange Version Number	00501	5	
		ISA13	Interchange Control Number	Assigned by Sender	9	Leading zeros Must be identical to interchange trailer IEA02
C.6		ISA14	Acknowledgment Requested	1 - Interchange Acknowledgment Requested	1	
		ISA15	Usage Indicator	T= Test Data P = Production Data	1	
		ISA16	Component Element Separator	:	1	
C.10		IEA01	Number of Included Functional Groups	1	1/5	
		IEA02	Interchange Control Number		9	Must be identical to the value in the ISA13

## GS-GE

This section describes Gainwell Technologies' use of the functional group control segments. It includes a description of expected application sender and receiver codes. Also included in this section is a

description concerning how Gainwell Technologies expects functional groups to be sent and how Gainwell Technologies will send functional groups. These discussions will describe how similar transaction sets will be packaged and Gainwell Technologies' use of functional group control numbers.

Page #	Loop ID	Reference	Name	Codes	Length	Notes/Comments
C.7		GS01	Functional Identifier Code	HR	2	Claim Status Information
		GS02	Application Sender's Code	WVTPID#####	2/15	Must be identical to the value in the ISA06
		GS03	Application Receiver's Code	WV_MES_4_MMS_IG	2/15	
		GS04	Date	'CCYYMMDD'	8	
C.8		GS05	Time	HHMM	4/8	Time based on a 24-hour clock
		GS06	Group Control Number		1/9	Assigned by Sender. Must be identical to the value in the GE02
		GS07	Responsible Agency Code	X	1/2	
		GS08	Version / Release Code	005010X212	1/12	
C.9		GE01	Number of Transaction Sets Included	1	1/6	
		GE02	Group Control Number		1/9	Must be identical to the value in the GS06

## ST-SE

This section describes Gainwell Technologies' use of transaction set control numbers. Please refer to the tables below for the ST-SE specific information for the 276 and 277 transactions.

Page #	Loop ID	Reference	Name	Codes	Length	Notes/Comments
61		ST01	Transaction Set Identifier Code	276	3	
		ST02	Transaction Set Control Number		4/9	Must be identical to the value in the SE02
62		ST03	Implementation Convention Reference	005010X212	1/35	

Page #	Loop ID	Reference	Name	Codes	Length	Notes/Comments
200		SE01	Number of Included Segments		1/10	Total Number of ST thru SE segments
		SE02	Transaction Set Control Number		4/9	Must be identical to the value in the ST02

## 7. GAINWELL SPECIFIC BUSINESS RULES AND LIMITATIONS

Listed below are the transmission constraints associated with the submission of the 276 Healthcare claim status transactions:

- Only one Interchange per transmission
- Only one transaction type per interchange
- Maximum of 5,000 transactions per transmission
- Single transmission file size must be less than 5 MB

For Gainwell Technologies specific business rules and limitation in association with the ASC X12N 276 Healthcare Claim Status transaction, refer to section 10: TRANSACTION SPECIFIC INFORMATION.

## 8. ACKNOWLEDGEMENTS AND/OR REPORTS

The acknowledgements and/or reports listed below are related to the submission of EDI transactions by a trading partner. These acknowledgements and/or reports are downloaded via the Heath PAS-OnLine Web portal or through FTP for those providers that submit transactions from an FTP connection. Additional information about retrieving and interpreting acknowledgements and/or reports can be found in the Transmission Example Appendix.

### REPORT INVENTORY

- TA1 – Interchange Acknowledgement. This acknowledgement is sent if requested by setting ISA14 to '1', or if ISA14 is set to '0' and there is an error that needs to be reported.
- 999 – Functional Acknowledgement. This acknowledgement file reports any errors found while checking compliance against TR3 specifications, or acceptance of an EDI transaction that meets the TR3 specifications for Strategic National Implementation Process (SNIP) levels 1 and 2.
- BRR – Business Rejection Report. Health PAS also produces a readable version of the 824 called the Business Rejection Report (BRR). This report helps to facilitate the immediate correction and re-bill of claims rejected during HIPAA validation for SNIP levels 1 through 7.

## 9. TRADING PARTNER AGREEMENTS

### TRADING PARTNERS

A trading partner is defined as any entity with which Gainwell exchanges electronic data. The term electronic data is not limited to HIPAA X12 transactions. West Virginia Medicaid's Health PAS system supports the following categories of trading partner:

- Provider
- Billing Agency
- Clearinghouse
- Health Plan

Gainwell will assign trading partner IDs to support the exchange of X12 EDI transactions for providers, billing agencies and clearinghouses, and other health plans.

All trading partners must be authorized to submit production EDI transactions. Any trading partner may submit test EDI transactions. The Usage Indicator, element 15 of the Interchange Control Header (ISA) of an X12 file, indicates if a file is test or production. Authorization is granted on a per transaction basis. For example, a trading partner may be certified to submit 837P professional claims but not certified to submit 837I institutional claim files.

Refer to the *WV Medicaid TPA – Account Maintenance User Guide* for more information. The user guide can be found at <https://www.wvmmis.com/SitePages/User-Guides.aspx>.

## 10. TRANSACTION SPECIFIC INFORMATION

This section describes how ASC X12N Implementation Guides (IGs) adopted under HIPAA will be detailed with the use of a table. The tables contain a row for each segment that Gainwell Technologies has something additional, over and above, the information in the IGs.

That information can:

- Limit the repeat of loops, or segments
- Limit the length of a simple data element
- Specify a sub-set of the IGs internal code listings
- Clarify the use of loops, segments, composite, and simple data elements
- Any other information tied directly to a loop, segment, composite, or simple data element pertinent to trading electronically with Gainwell Technologies

### TRANSMISSION CONSTRAINTS

- Only one Interchange per transmission
- Only one transaction type per interchange
- Maximum of 5,000 inquiry transactions per transmission
- Single transmission file size must be less than 5MB

In addition to the row for each segment, one or more additional rows are used to describe Gainwell Technologies' usage for composite and simple data elements and for any other information. Notes and comments should be placed at the deepest level of detail. For example, a note about a code value should be placed on a row specifically for that code value, not in a general note about the segment.

The following table specifies the columns and suggested use of the rows for the detailed description of the transaction set companion guides.

Page #	Loop ID	Reference	Name	Codes	Length	Notes/Comments
37		BHT01	Hierarchical Structure Code	'0010'	4/4	Information Source, Information Receiver, Provider of Service, Subscriber, Dependent
		BHT02	Transaction Set Purpose Code	'13'	2/2	Request
		BHT04	Date	'CCYYMMDD'	8/8	Transaction Set Creation Date
41	2100A	NM101	Entity Identifier Code	'PR'	2/3	Payer

Page #	Loop ID	Reference	Name	Codes	Length	Notes/Comments
	2100A	NM102	Entity Type Qualifier	'2'	1/1	Non-Person Entity
	2100A	NM103	Last Name or Organization Name		1/60	WV_MES_4_MMS_IG
42	2100A	NM108	Identification Code Qualifier	'PI'	1/2	Payer Identification
	2100A	NM109	Identification Code		2/80	WV_MES_4_MMS_IG
45	2100B	NM101	Entity Identifier Code	'41'	2/3	Submitter
	2100B	NM102	Entity Type Qualifier	'1' Person '2' Non-Person Entity	1/1	
46	2100B	NM103	Last Name or Organization Name		1/60	Provider's Last Name or Organization Name
	2100B	NM104	First Name		1/35	Provider's First Name
	2100B	NM108	Identification Code Qualifier	'46'	1/2	Electronic Transmitter Identification Number
	2100B	NM109	Identification Code		2/80	Electronic Transmitter Identification Number
50	2100C	NM101	Entity Identifier Code	'1P'	2/3	Provider
	2100C	NM102	Entity Type Qualifier	'1' Person '2' Non-Person Entity	1/1	
	2100C	NM103	Last Name or Organization Name		1/60	Provider's Last Name or Organization Name
	2100C	NM104	First Name		1/35	Provider's First Name



Page #	Loop ID	Reference	Name	Codes	Length	Notes/Comments
	2100C	NM108	Identification Code Qualifier	'XX' National Provider ID 'SV' Service Provider ID	1/2	
	2100C	NM109	Identification Code		2/80	When using 'XX' use National Provider ID When using 'SV' use WV Medicaid Provider ID
54	2000D	DMG01	Date Time Period Format Qualifier	'D8'	2/3	
	2000D	DMG02	Date Time Period	'CCYYMMDD'	1/35	Subscriber Birth Date
	2000D	DMG03	Gender Code	'F' Female 'M' Male	1/1	
56	2100D	NM101	Entity Identifier Code	'IL'	2/3	
	2100D	NM102	Entity Type Qualifier	'1' Person '2' Non-Person Entity	1/1	
57	2100D	NM103	Last Name or Organization Name	Subscriber Last Name	1/60	As it appears on their West Virginia Medicaid Card
	2100D	NM104	First Name	Subscriber First Name	1/35	As it appears on their West Virginia Medicaid Card
	2100D	NM108	Identification Code	'MI'	1/2	Member Identification Number
	2100D	NM109	Identification Code		2/80	WV CHIP 10 digit or WV Medicaid 11 digit Recipient Number
60	2200D	REF01	Reference Identification Qualifier	'BLT' Billing Type 'EJ' Patient Control Number	2/3	
	2200D	REF02	Reference Identification		1/50	

Page #	Loop ID	Reference	Name	Codes	Length	Notes/Comments
66	2200D	AMT01	Amount Qualifier Code	'T3'	1/3	Total Submitted Charges
	2200D	AMT02	Monetary Amount		1/18	Total Claim Charge Amount
67	2200D	DTP01	Date/Time Qualifier	'472'	3/3	Claim Statement Start and End Period
	2200D	DTP02	Date/Time Period Format Qualifier	'D8' or 'RD8'	2/3	'D8' for single date or 'RD8' for a date span.
	2200D	DTP03	Date Time Period	'CCYYMMDD – CCYYMMDD'	1/35	Claim Service Period

## APPENDICES

### 1. Implementation Checklist

The Health PAS-OnLine Web portal user guides contain all necessary steps for going live with Gainwell Technologies in submitting specified EDI transactions, and receiving EDI responses, including the 5010 276.

The user guides also cover the following categories:

- Register for a Trading Partner ID
- Test with Gainwell Technologies

The user guides can be found at <https://www.wvmmis.com/SitePages/User-Guides.aspx>.

### 2. Business Scenarios

The following information specifies the suggested use of the Claim Status Codes (convey the status of an entire claim or a specific line) and Claim Status Category Codes (indicate the general category of a claim's status: accepted, rejected, additional information requested, etc.) acceptable for Loop ID 2200D in Reference STC01.

A complete list of Claim Status Codes and Claim Status Category Codes are published by the Washington Publishing Company (WPC).

<http://store.x12.org/store/healthcare-5010-consolidated-guides>

#### ***Retrieving Acknowledgements for X12 transactions submitted via secured FTP submission***

Trading Partners who have submitted X12 transactions via Secured FTP (file transfer protocol) may retrieve acknowledgements and responses from their designated secured FTP Pickup location. Any validation responses to the original submission (TA1, 999, 824, and BRR) will be based on the Gainwell internal file naming convention. This naming convention is as follows:

<Input Class>-<Sender ID>-<Receiver ID>-<Date: CCYYMMDD>-<Time: HHMMSS>-<File ID>-<Transaction Type>-<Usage: T for Test, P for Production>.edi

For example:

An inbound Health Claim Status Request file from Trading Partner ID WVTPIDXXXXXX, would be assigned an internal name of:

VAN-WVTPIDXXXXXX-WV\_MES\_4\_MMS\_IG-20180616-112750-1367-005010X212-P.edi

The HIPAA validation acknowledgements would appear in this trading partner's FTP pickup location named:

VAN-WVTPIDXXXXXX-WV\_MES\_4\_MMS\_IG-20180616-112750-1367-005010X212-P.edi-1367-TA1.edi

VAN-WVTPIDXXXXXX-WV\_MES\_4\_MMS\_IG-20110616-112750-1367-005010X212-P.edi-1367-999.edi

### 3. Transmission Examples

#### ***TA1 Interchange Acknowledgement***

The TA1 interchange acknowledgement is used to verify the syntactical accuracy of the envelope of the X12 interchange. The TA1 interchange will indicate that the file was successfully received, as well as indicate what errors existed within the envelope segments of the received X12 file.

The structure of a TA1 interchange acknowledgement depends on the structure of the envelope of the original EDI document. When the envelope of the EDI document does not contain an error then the interchange acknowledgement will contain the ISA, TA1, and IEA segments. The TA1 segment will have an Interchange Acknowledgement Code of 'A' (Accepted) followed by a three-digit code of '000' which indicates that there were not any errors.

If the EDI document contains an error at the interchange level, such as in the Interchange Control Header (ISA) segment or the Interchange control trailer (IEA), then the interchange acknowledgement will also only contain the ISA, TA1, and IEA segments. The TA1 segment will have an Interchange Acknowledgement Code of 'R' (Rejected) which will be followed by a three-digit number that corresponds to one of the following codes:

Code	Description
000	No error
001	The Interchange Control Number in the Header and Trailer Do Not Match. The Value from the Header is used in the Acknowledgment
002	This Standard as noted in the Control Standards Identifier is not supported
003	This Version of the Controls is not supported
005	Invalid Interchange ID Qualifier for Sender
006	Invalid Interchange Sender ID
009	Unknown Interchange Receiver ID
010	Invalid Authorization Information Qualifier Value (ISA01 is not '00' or '03')
012	Invalid Security Information Qualifier Value
013	Invalid Security Information Value
018	Invalid Interchange Control Number Value
019	Invalid Acknowledgment Requested Value
020	Invalid Test Indicator Value
021	Invalid Number of Included Groups Value
023	Improper (Premature) End-of-File (Transmission)
024	Invalid Interchange Content (e.g., Invalid GS Segment)
025	Duplicate Interchange Control Number

### **999 Implementation Acknowledgements for Health Care Insurance**

The ASC X12 999 transaction set is designed to report only on conformance against a Technical Report Type 3line (TR3).

The 999 is not limited to only Implementation Guide (TR3) errors. It can report standard syntax errors, as well as Implementation Guide (TR3) errors.

The 999 cannot be used for any application level validations.

The ASC X12 999 transaction set is designed to respond to one and only one functional group (i.e.,

GS/GE), but will respond to all transaction sets (i.e., ST/SE) within that functional group.

This ASC X12 999 Implementation Acknowledgement cannot be used to respond to any management transaction sets intended for acknowledgements, i.e., TS 997 and 999, or interchange control segments related to acknowledgments, i.e., TA1 and TA3.

Each segment in a 999 functional acknowledgement plays a specific role in the transaction. For example, the AK1 segment starts the acknowledgement of a functional group. Each AKx segment has a separate set of associated error codes.

The 999 functional acknowledgements include, but are not limited to, the following required segments:

- ST segment – Transaction Set Header
- AK1 – Functional Group Response Header
- AK2 – Transaction Set Response Header
- IK3 – Error Identification
- CTX – Segment Context
- CTX – Business Unit Identifier
- IK4 – Implementation Data Element Note
- CXT – Element Context
- IK5 – Transaction set response trailer
- AK9 – Functional Group Response Trailer
- SE – Transaction Set Trailer

For additional information regarding the 999 transaction, see the Implementation Acknowledgement Section of the ASC X12N Implementation Guide or 5010 TR3s standards developed by the X12 Committee and published by the Washington Publishing Company (WPC).

<http://store.x12.org/store/healthcare-5010-consolidated-guides>

## 4. Frequently Asked Questions

This appendix contains a compilation of questions and answers relative to Gainwell Technologies and its providers. Typical question would involve a discussion about code sets and their effective dates.

See <https://www.wmmis.com/FAQs/Forms/AllPages.aspx> for answers to frequently asked questions.

## 5. Change Summary

Version	Date	Author	Action/Summary
0.1	04/21/2015	Charmaine Hodge	Initial document
0.2	08/28/2015	Katie Nichols	Updated Communication Protocol Specifications to include SOAP/MIME information
0.3	09/03/2015	Cheryl Stickney	QA review of document
0.4	01/05/2016	Joseph White	Modified for CAQH formatting compliance and responded to State comments
0.5	01/08/2016	Jenny Jacobson	QA review of document
0.6	01/15/2016	Joseph White	Document updates based on the State's comments.
0.7	01/15/2016	Jenny Jacobson	QA review of document updates
1.0	01/17/2016	Lori Hoppe	Updated to the approved version after BMS approval

Version	Date	Author	Action/Summary
1.1	07/07/2017	Katie Banik	Updated phone options and email addresses
1.2	07/24/2017	Kim Stoudenmire	QA review of document after updates.
1.3	11/14/2018	Katie Banik	DXC Rebranding
1.4	11/15/2018	Kim Stoudenmire	QA review of rebranding updates
1.5	04/01/2019	Katie Banik	Per CR 26776, Updated Receiver ID from WV_MMIS_4MOLINA to WV_MMIS_4_DXCMS. Section 2 added email address and updated user guide name. Section 8 to be uniform with other companion guides. Section 10 added length requirements. Appendices - Business Scenarios updated to 276 information instead of generic.
1.6	04/04/2019	Kim Stoudenmire	QA review of updates for CR 26776 and updated footer.
1.7	07/22/2020	Katie Banik	Updated email address from @molinahealthcare.com to @dxc.com in section 2 & 5
1.8	07/28/2020	Tisjauna Palmer	QA of updates due to CR 33538
1.9	01/25/2021	Katie Banik	34960 GWT Rebranding
1.10	02/03/2022	Kim Stoudenmire	QA of rebranding and updated email address from @dxc.com to @gainwelltechnologies.com
1.11	05/04/2022	Amy Kristic	CR 40468 Receiver ID updated to WV_MES_4_MMS_IG from WV_MMIS_4_DXCMS.
1.12	05/09/2022	Kim Stoudenmire	QA review of updates for CR 40468
1.13	01/16/2024	Armando Gurrola	DHHR to DoHS rebranding - CR 46681
1.14	01/29/2024	Kim Stoudenmire	QA review of DHHR to DoHS rebranding - CR 46681